



中国科学院空天信息创新研究院

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High Emission Dispenser Cathodes with Innovative Impregnant

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Outline



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**New Model for
Scandate Cathode**

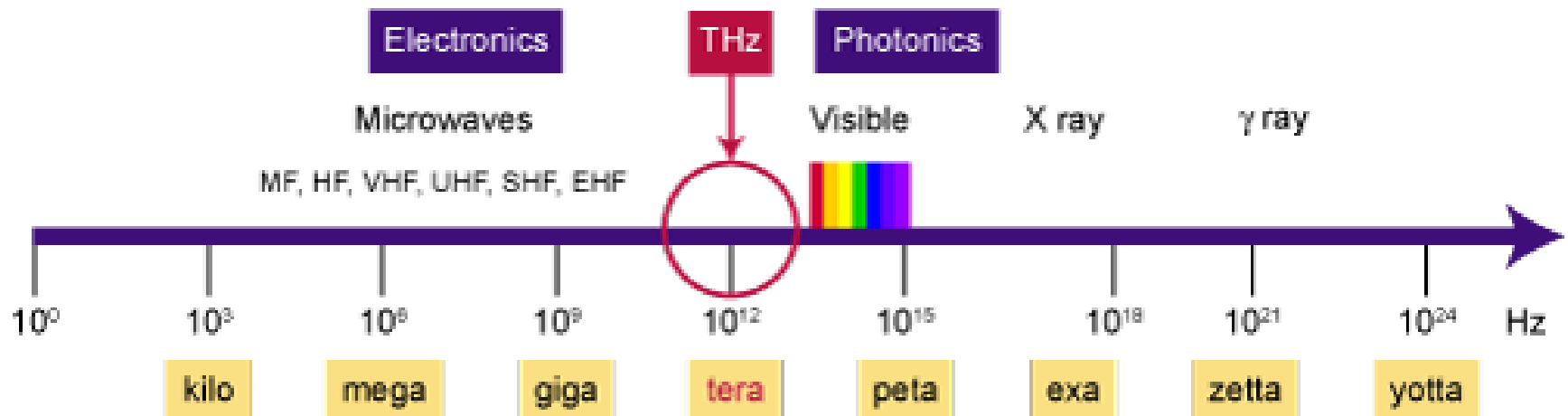
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Summary

Introduction

Demand and Challenge

Terahertz wave devices put forward an ascending demand for high emission cathode



Current Density: 20, 40, 60, 80, 100 A/cm²

Introduction

Two milestones of scandate cathodes show great potential, **but** problems still exist...

Laser Ablation Deposition- Top Layer

Laser power is changing;

Active target is sensitive to the environment.

1997

G. Gaertner,
P. Geittner,
H. Lydtin, et al.

Body doping scandate- Matrix

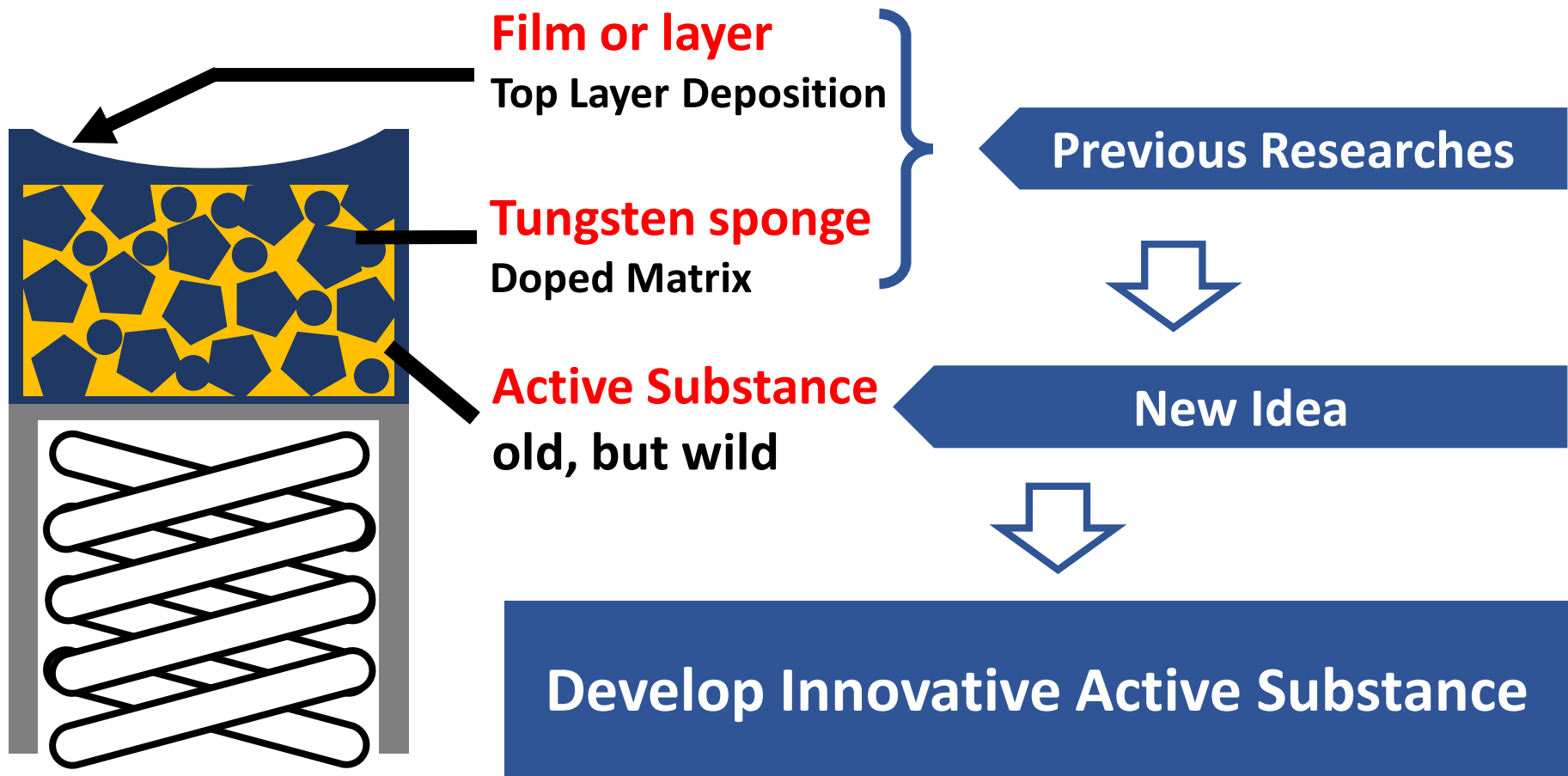
Not easy for high-temperature brazing in H_2 ;

Not suitable for precisely machining and centring.

2003

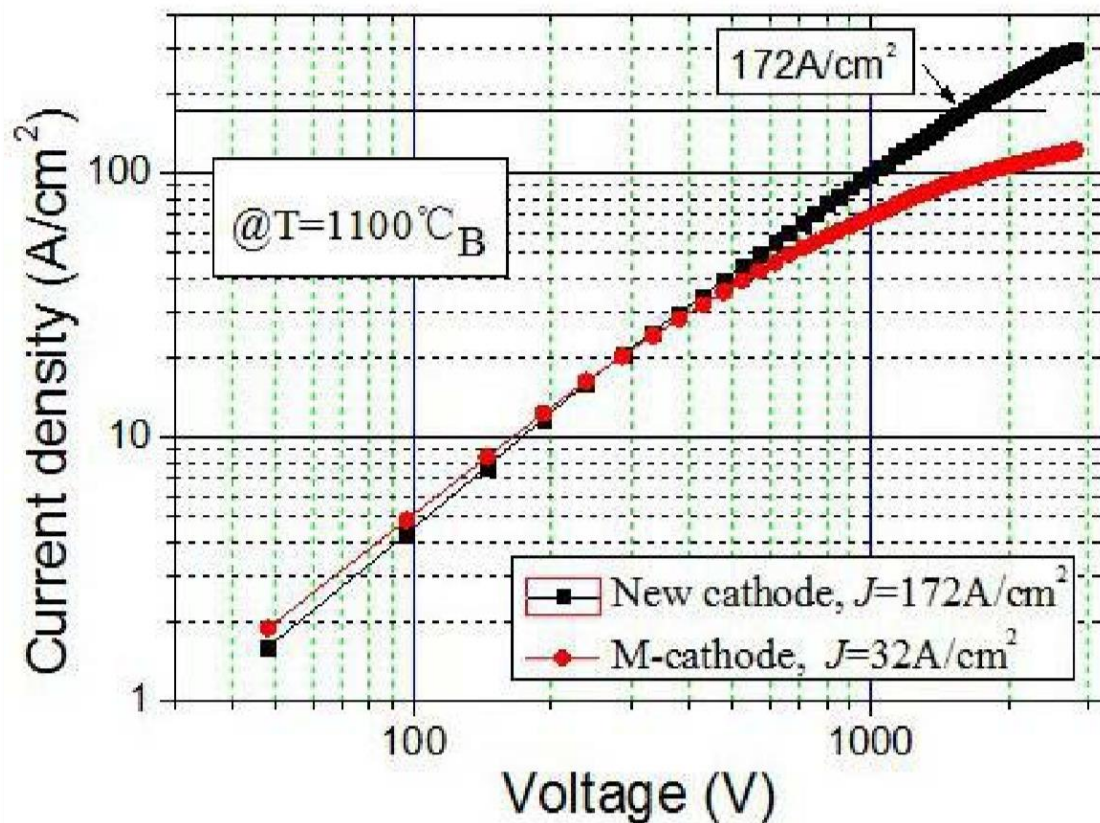
Jinshu Wang,
Yiman Wang,
Siwu Tao, et al.

Introduction



Introduction

Innovative Active Substance



2013

$26\text{BaO}\cdot 29\text{SrO}\cdot 8\text{Sc}_2\text{O}_3\cdot 7\text{CaO}\cdot \text{Al}_2\text{O}_3$

Current Density:

172A/ cm^2 at 1100°C_B

(Water-cooled anode
planar diode test,
Pulse Set: 20Hz 5 μs)

Shengyi Yin, Zhaochuan Zhang, et al.,
IEEE Transaction on Electron Devices, (2013) 4258.

Introduction

Freeze Sublimation Method

2019

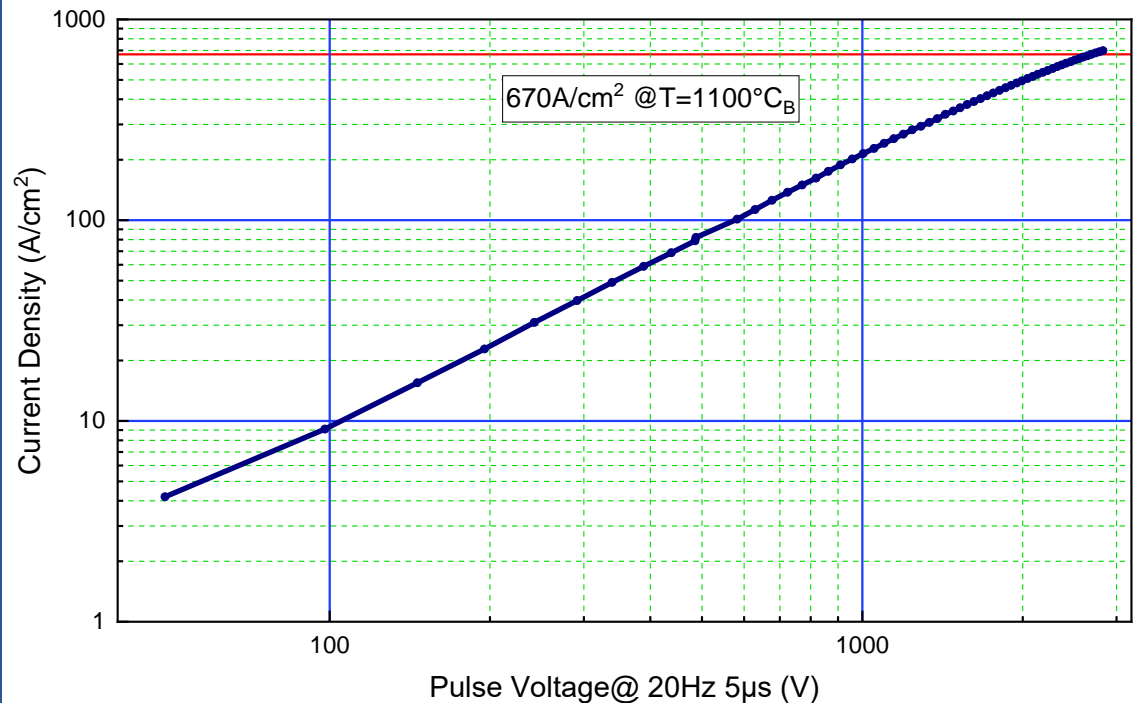
Novel material of $\text{Ba}_2\text{ScAlO}_5 + 3\%\text{at SrO}$ was discovered.

Freeze-drying method was first used for the preparation of impregnant.

Typical Current Density:

$670\text{A}/\text{cm}^2$ at $1100^\circ\text{C}_\text{B}$

↑2.8 times



Experiments

- **Precursor Preparation**
- **Analyses & Characterizations of the New Substance**
 - **XRD:** Phase Composition & Structure
 - **Melting Point Test:** Impregnation Temperature
 - **SEM-EDS:** Particle Size & Elementary Distribution Uniformity
- **Preparation of Cathodes and Electron Guns**
 - **Cathode Preparation:**
Brazing → Heater Assembly → Impregnation → Machining
- **Emission test**
- **Application**

Experiments

Precursor Preparation

Acetates or Nitrates of
Ba, Sr, Al and Sc



**Solution Mixing &
Stirring**



Liquid N₂ Instant Freeze



Vacuum Sublimation

Freeze Sublimation Method

Experiments

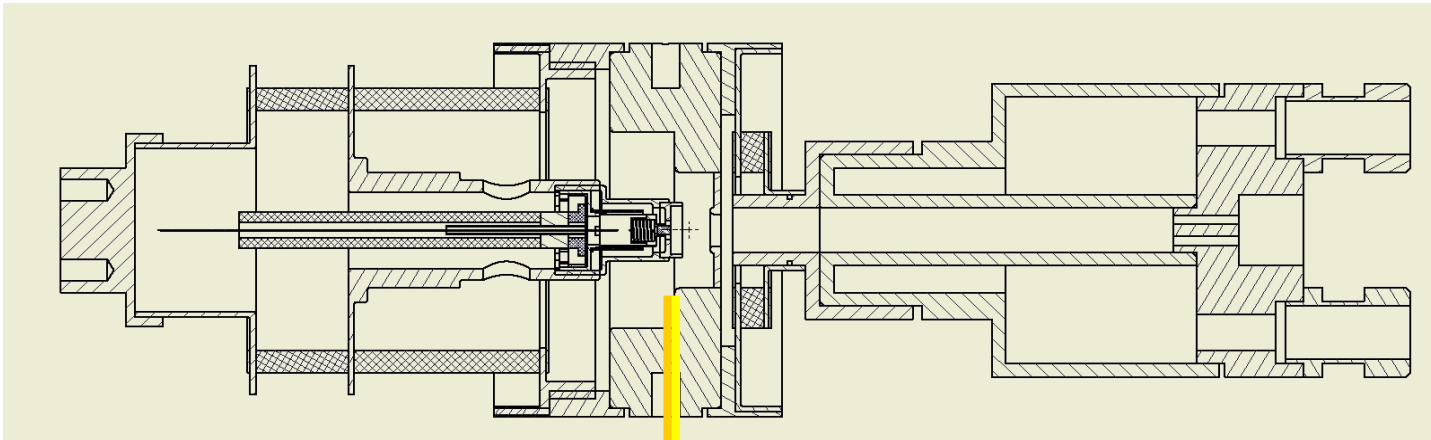
Manufacturing of cathodes and THz electron guns

Main Part: Terahertz Tube Structure

Cathode: $\Phi 1\text{mm}$, Flat on Top

Anode: No Refridgeration

Collector: Water Cooled



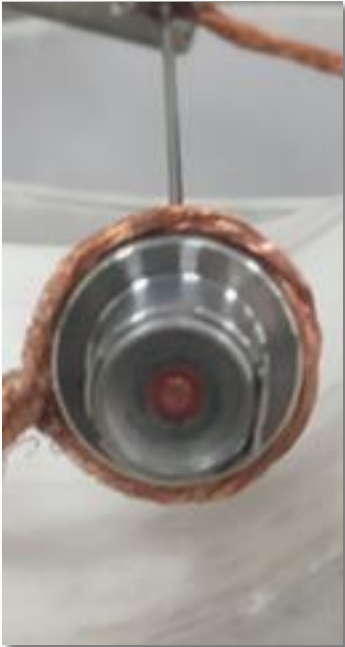
Insulated Cathode Assembly

Anode/Collector (Short-Circuited)

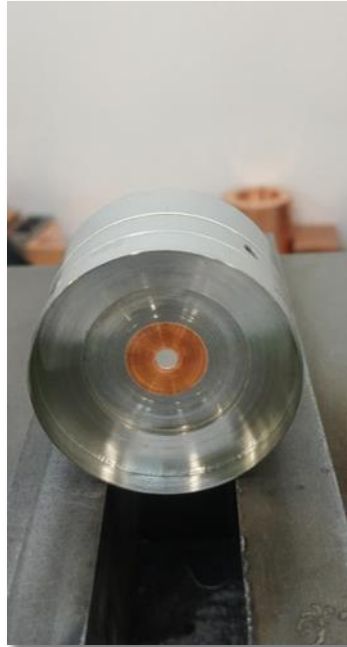
THz Electron Gun with High Emission Cathode (1mm)

Experiments

THz Electron Gun



**Cathode
Assembly**



**Anode
Assembly**



**Leakage
Detection**



Baking

Experiments

Emission Test



Close-Spaced Diode Test Platform



Experimental THz Electron Gun

Results

Precursors and Active Substances



Dry Precursor after Sublimation

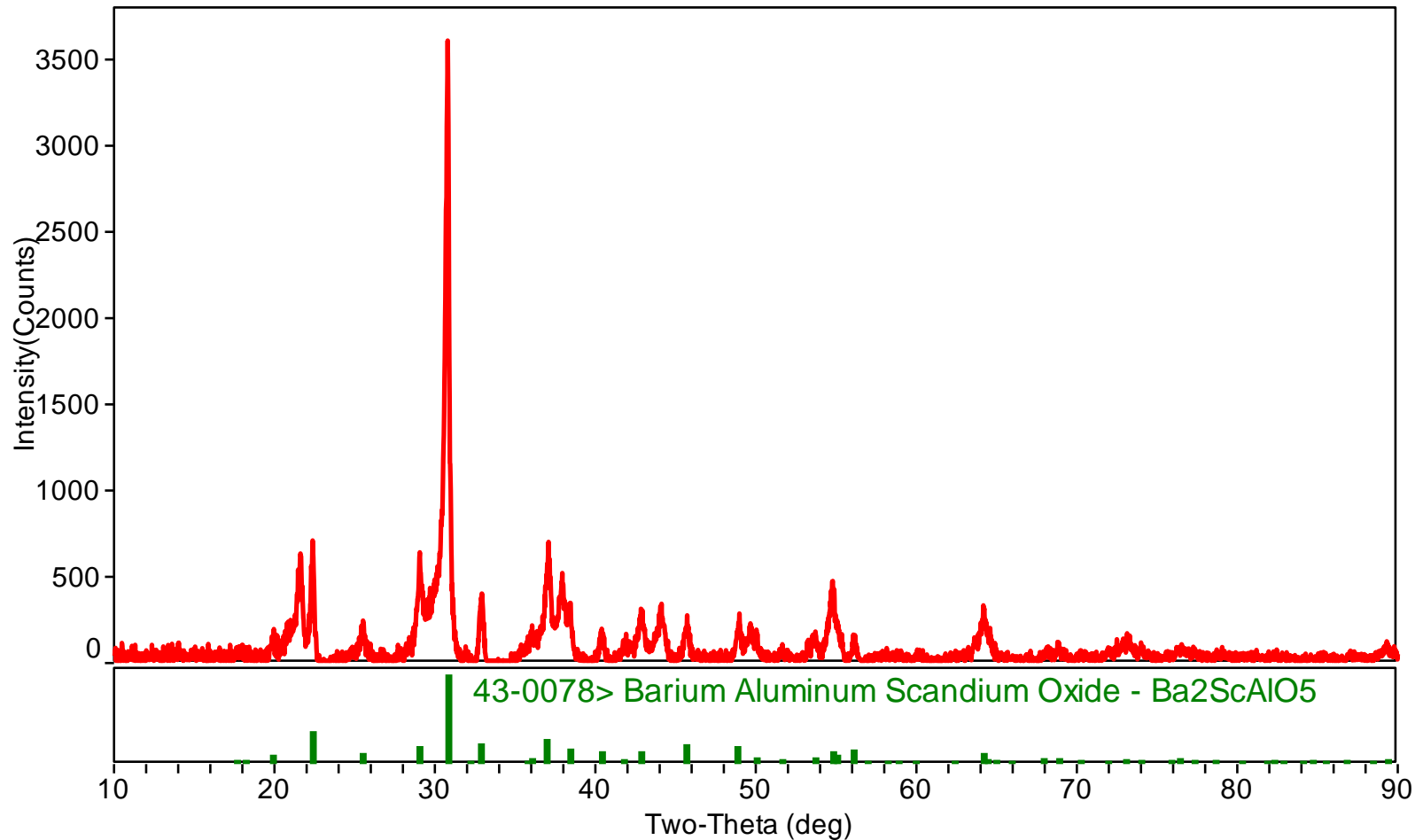
Sintering



Calcined Active Substance

Results

XRD Pattern Comparison



Almost Perfectly Consistent

Results

Melting Point

- Novel active substances melts at 1730°C under H₂ atmosphere, which is **of importance**.
- Impregnation of novel active substance can be arranged **after brazing** with Mo-Re filler and can be easily done in a conventional hydrogen furnace at 1750°C.
- **Mo-Re Brazing Temp:** 2000-2100°C under H₂ atmosphere;
- **411/612 Salts Impregnation Temp:** 1600-1800°C under H₂ atmosphere.
- Novel Substance can be used like 411/612 salts.

Results

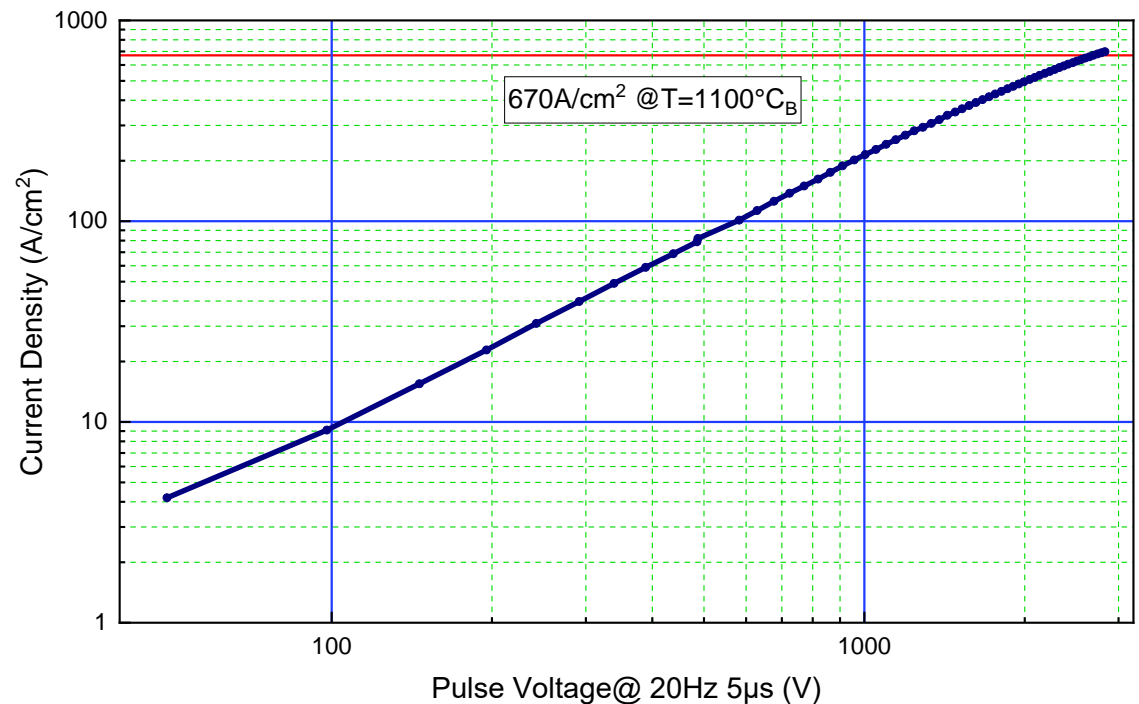
Pulse Emission Test

Water-Cooled-Anode
Planar Diode

End Face Diameter:
 $\Phi 1\text{mm}$
No Retaining Ring

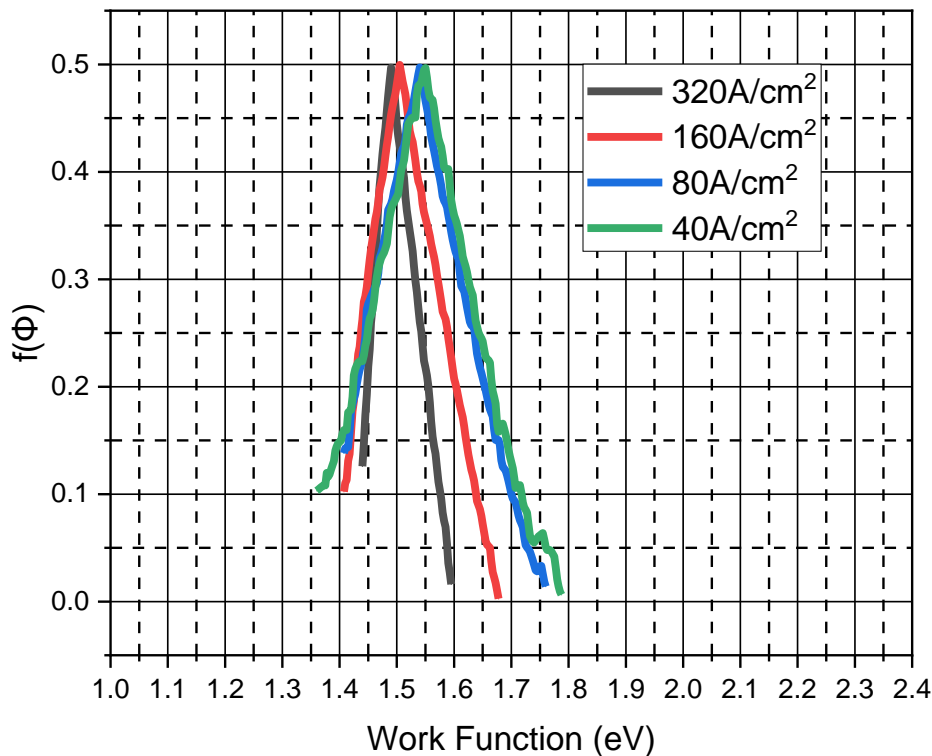
Frequency: 20Hz
Pulse Length: $5\mu\text{s}$
Duty Cycle: 1/10,000
Temperature: $1100^{\circ}\text{C}_\text{B}$

Current Density:
 $670\text{A}/\text{cm}^2$



Results

Practical Work Function Distribution (PWFD)



Peak Work Functions

320A/cm²: 1.490eV

160A/cm²: 1.505eV

80A/cm²: 1.540eV

40A/cm²: 1.550eV

**Heavier the workload,
Higher the electrical
field intensity,
Smaller the work
function.**

Results

Cathode's Performance in Electron Gun

Condition 1

Frequency: 20Hz

Pulse Length: 5 μ s

Duty Cycle: 1/10,000

Temperature: 1090-

1100°C_B

Emission Current: 1.20A

Current Density:

152A/cm²



Condition 2

Frequency: 1000Hz

Pulse Length: 50 μ s

Duty Cycle: 5/100

Temperature: 1090-

1100°C_B

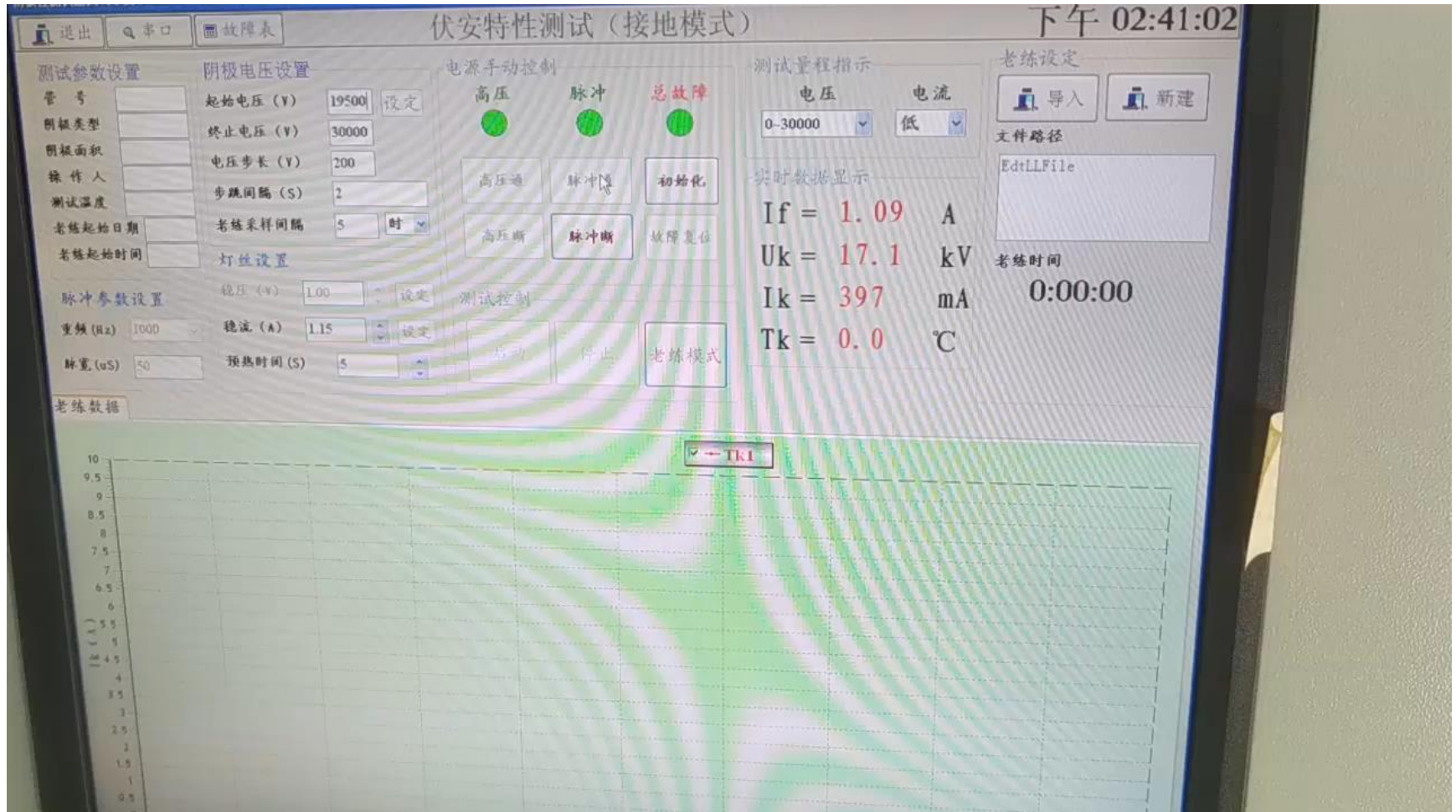
Emission Current: 0.42A

Current Density:

53A/cm²

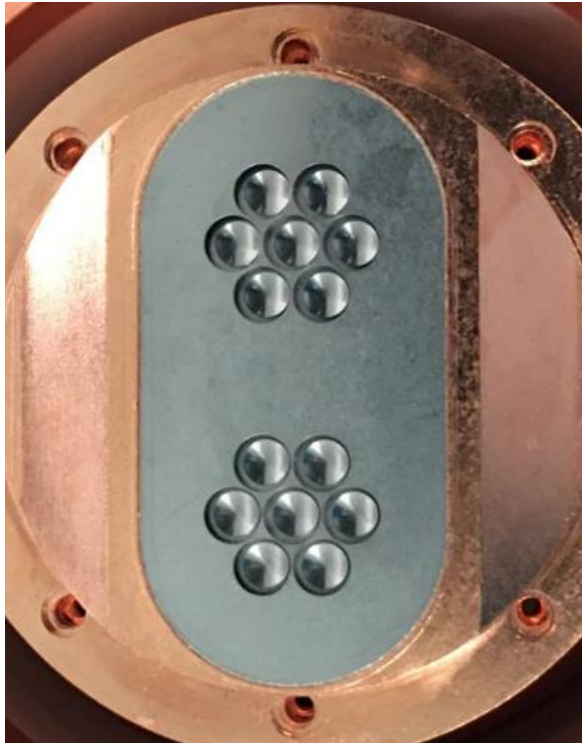
Results

Electron Gun Emission Duration Test: **53A/cm² for 300 hours.**



Results

Applications



X-Band Multibeam Klystron
Duty Circle=5%, Current Density 25A/cm^2
Temperature: $950-1000^\circ\text{C}_\text{B}$

Questions:

- Why do scandate cathodes get much more emission than M-type cathodes or conventional dispenser cathodes?
- Why is $\text{Ba}_2\text{ScAlO}_5$ or Ba:Sc=2:1 the best?
- What is the essence?

New Model

Three horizontal blue bars of varying lengths are positioned in the top right corner of the slide. The top bar is the longest, the middle bar is shorter and starts further to the right, and the bottom bar is the shortest and starts even further to the right.

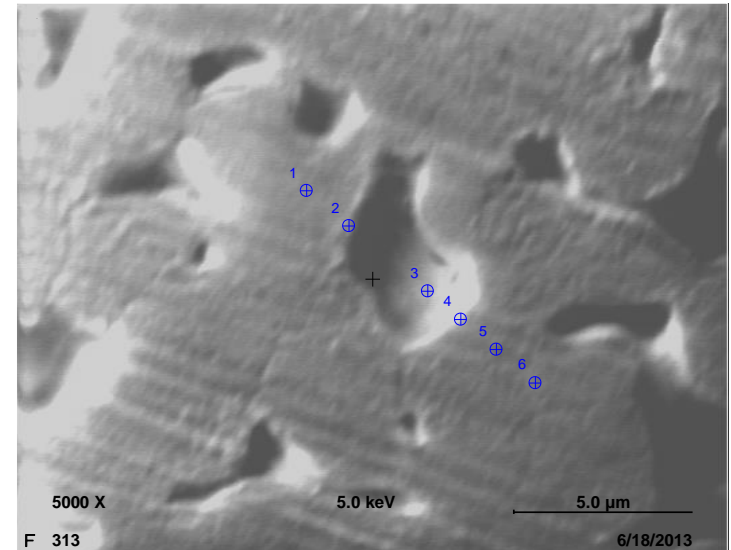
Based on our previous experimental foundations,
We put forward the **‘Binary Tree Model’**

Our Experimental Foundations

- Elementary compositions of these 4 points on cathode's tungsten surface are very close or almost the same.

Auger Electron Spectroscopy Results of High Emission Cathode

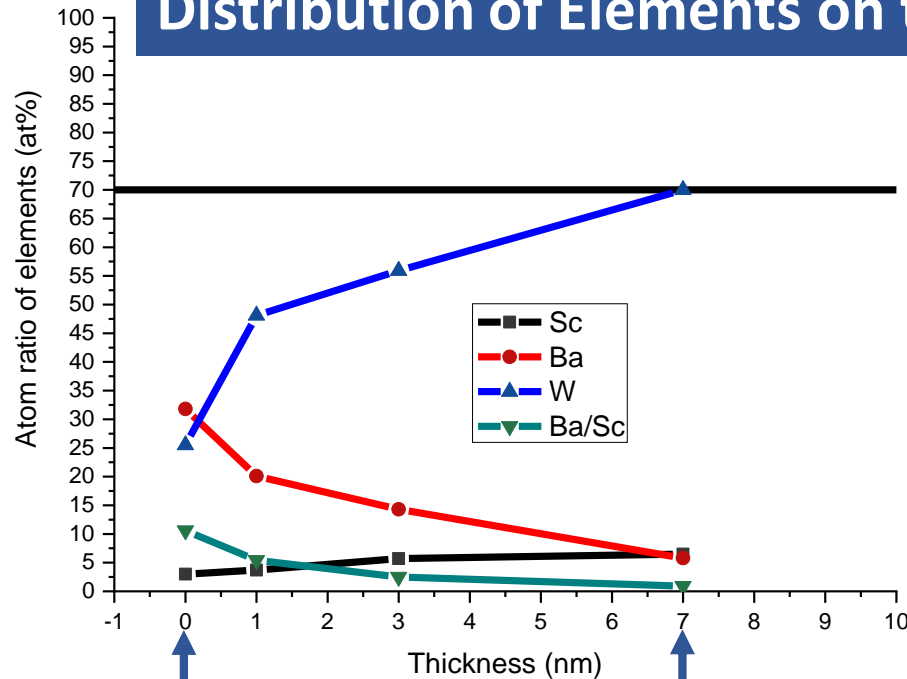
PHI-700 Nanometer Scanning AES System



Point number	Site (Distance to pore edges)	C1	O1	Al2	Ca1	Sc1	Ba3	W2	Atom ratio Ba/Sc
1	1.5μm	32.8	18.9	1.6	1.6	11.7	15.1	18.4	1.29
2	0	31.3	25.2	5.2	2.6	11.7	22.0	2.0	1.88
3	Center	28.3	24.8	3.9	1.4	9.2	31.1	1.4	3.38
4	0	31.1	20.3	4.5	1.0	9.7	19.1	14.4	1.97
5	1.3μm	32.5	19.3	2.9	1.1	11.5	15.2	17.7	1.32
6	2.8μm	30.4	19.3	3.3	1.2	11.9	17.9	16.1	1.50

Our Experimental Foundations

Distribution of Elements on the Cathode's Surface in Depth



Top Surface

Base Metal

- From top to bottom, Ba content goes from high to low, Sc content goes from low to high.
- When atom ratio of Ba to Sc in the active substance is 2:1, the cathode emission is maximized.

Previous Experimental Foundations

➤ Different atoms and their ions have fixed partial radius.

Particle Radius

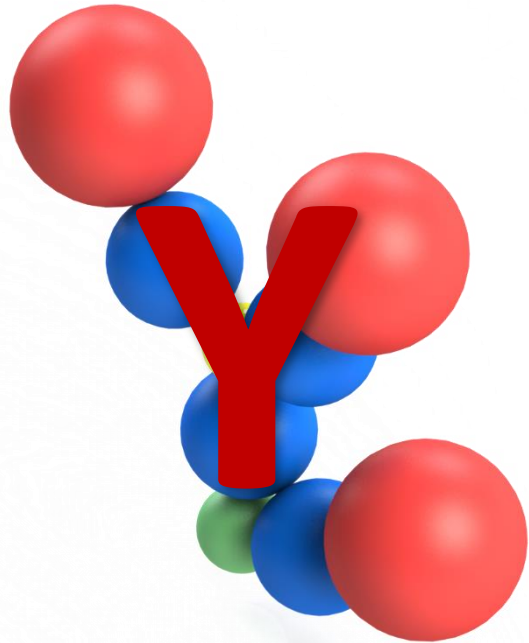
Ion	O ⁻¹	O ²⁻	Sc ⁺	Sc ²⁺	Sc ³⁺	Ba	Ba ⁺	Ba ²⁺	W ²⁺	W ³⁺
Radius (Å)	0.93	1.21	1.36	1.09	0.73	2.24	1.79	1.34	0.80	0.75
Relative Radius	1.27	1.66	1.86	1.49	1	3.07	2.45	1.84	1.10	1.03

➤ Sc atoms alone can not emit directly.

Precondition for Model

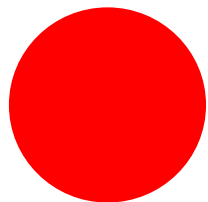
- The entire emission structure is electrically neutral ;
- Base metal is $W^{2+}(a)$;
- $O^{2-}(a)$ is the only ion that adsorbs metal ions;
- $Ba^{+}(a)$ is the only ion which can emit and lies on the outmost layer of cathode surface;
- Sc can only has its natural $+3$ valence in the structure.

Binary Tree Model

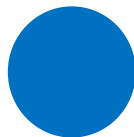


Basic Structure

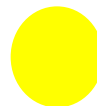
- $W^{2+}(a)$ ion, as the root, ties up the $O^{2-}(a)$ branches.
- Sc^{3+} is bonded with three $O^{2-}(a)$ -ions, serving as the joint points of 'Y' letter shape structure, which is like the fork of a tree.
- ' $Ba^{+}(a)-O^{2-}(a)-$ ' pairs are the branches with emissionable fruits hanging on the top of surfaces.



$Ba^{+}(a)$



$O^{2-}(a)$



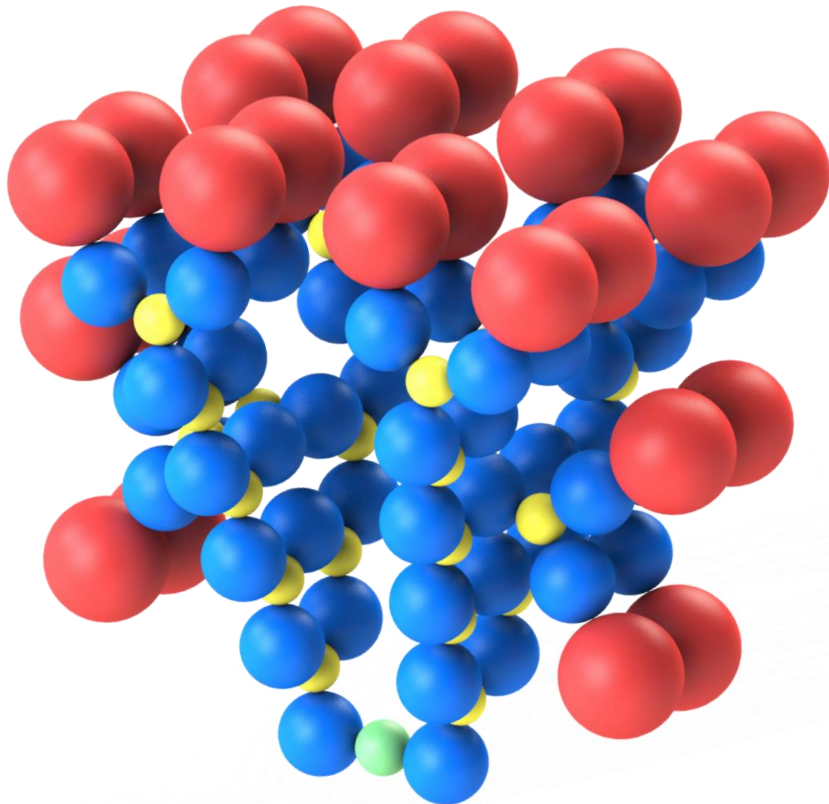
$Sc^{3+}(a)$



$W^{2+}(a)$

(a) : adsorbing

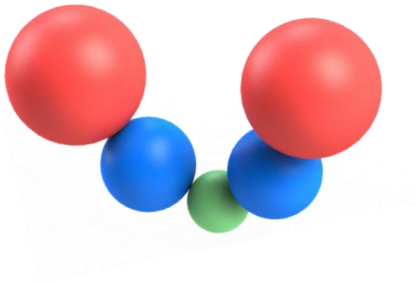
Binary Tree Model of Scandate cathode



Typical structure

- There are 16 Ba⁺ ions on the outmost layer, and 8 Sc³⁺ ions on the second outmost layer in height direction;
- Other 8 Ba⁺ ions and 4 Sc³⁺ ions are on the side branches;
- The total Ba:Sc molecular ratio is 24:12 or simplified 2:1, which is perfectly consistent with that of our salt recipe.

Binary Tree Model on M-type cathode



M-type Cathode

Typical structure

- Only 2 Ba^+ ions are on the outmost layer.

Scandate Cathode VS. M-type Cathode

Atoms of Different Structures

Atom	Ba	Sc	W
Scandate Cathode	24	12	1
Impregnated Cathode	2	0	1



The emission ability of the scandate cathode is a magnitude more than that of a M-type cathode.

With Binary Tree Model, what can we do?

May explain Sc's role in emission enhancement

- When Sc element exists in the inner layers of the cathode surface, it plays an important and special role: Sc ions as junction or bifurcation points can greatly extend the network and support more Ba ions to grow on the top of the cathode's surface ;
- Scandate cathodes have much more Ba^{1+} ions on the surface of cathode , a magnitude more than those of M-type cathode!
- Sc alone can not emit, but it turns 'a single shot pistol into a shotgun'!



Summary

- ◆ As an innovative active substance, $\text{Ba}_2\text{ScAlO}_5 + 3\text{at\%SrO}$, whose melting point is 1730°C , can be easily used like 411 or 612 salts.
- ◆ The cathode ($\Phi 1\text{mm}$, without retaining rings), which was impregnated with the new active substance, showed an emission current density of $670\text{A}/\text{cm}^2$ in planar diode test (20Hz, $5\mu\text{s}$, $1100^\circ\text{C}_\text{B}$), and reached $152\text{A}/\text{cm}^2$ in a THz electron gun tube (20Hz, $5\mu\text{s}$, $1090^\circ\text{C}_\text{B}$).
- ◆ Binary Tree Model was put forward, which may be used to explain the special role of Sc in emission enhancement.
- ◆ The high emission performance and good operability of the new substance and cathodes show that our idea may provide a very promising cathode option for terahertz and other new devices.



Shengyi Yin



Xinping Lv



Zhipeng Lu



Feng Ren

Thank you!



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- ◆ In height direction, there are 5 layers of Sc ions;
- ◆ There are 2, 4, 4, 4 and 8 Sc ions in these layers respectively from bottom to top;
- ◆ Side branches take root in the 4th layer and have 4 Sc ions in total.

