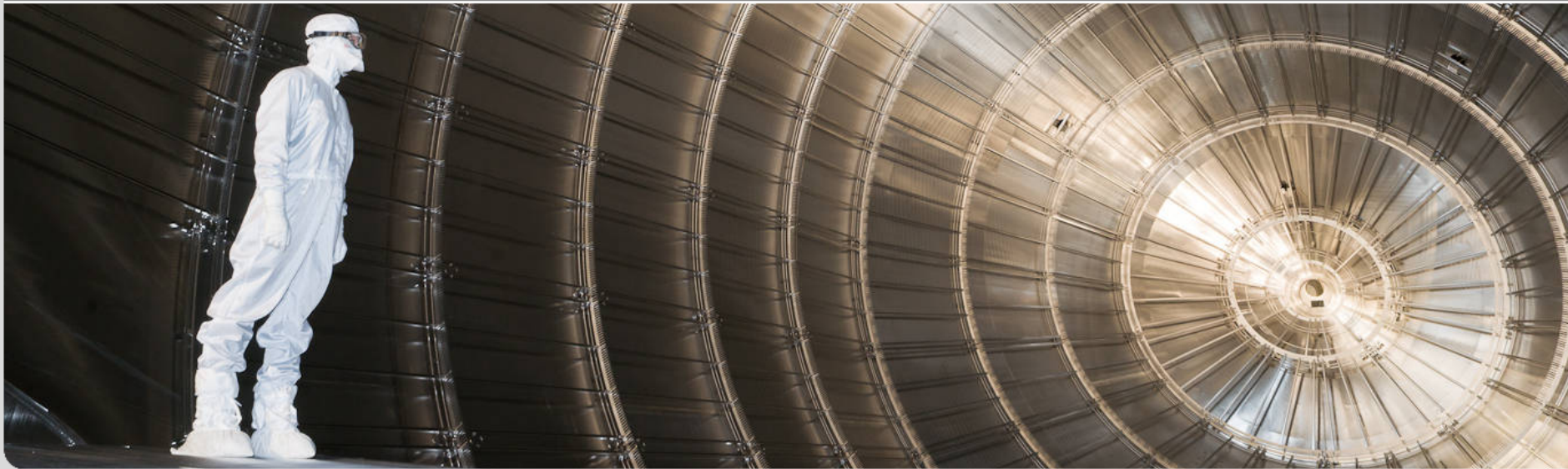


# “Vacuum performance of the KATRIN experiment”

- Florian Fränkle for the KATRIN collaboration -

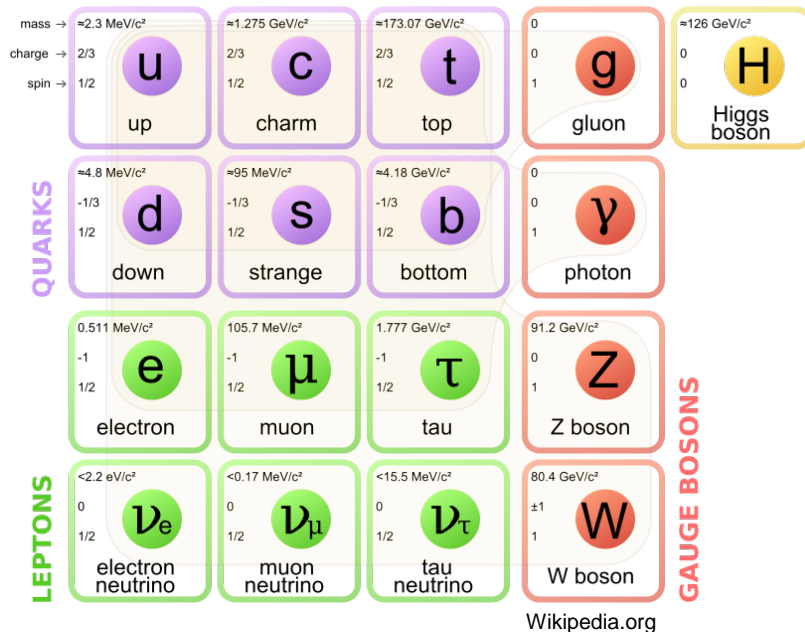
Institute for Nuclear Physics (IKP), Karlsruhe Institute of Technology (KIT)



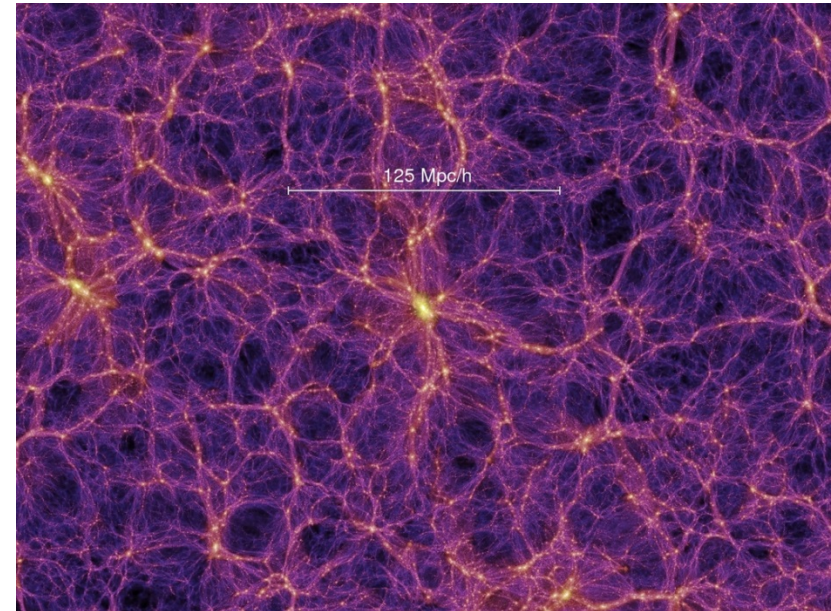
- neutrino physics
- the KATRIN experiment
- vacuum performance of the KATRIN main spectrometer
- summary & outlook

# neutrinos

## particle physics



## cosmology



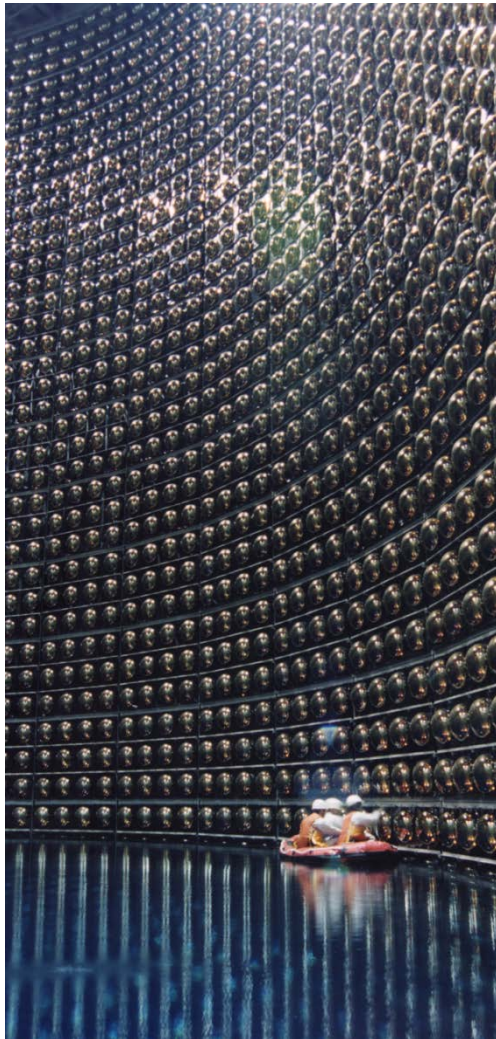
The Millennium Simulation Project, Max-Planck-Institut für Astrophysik

- neutrinos are neutral elementary particles
- their mass is still unknown

- neutrinos are among the most abundant particles in the universe
- even with a small mass they influence structure formation

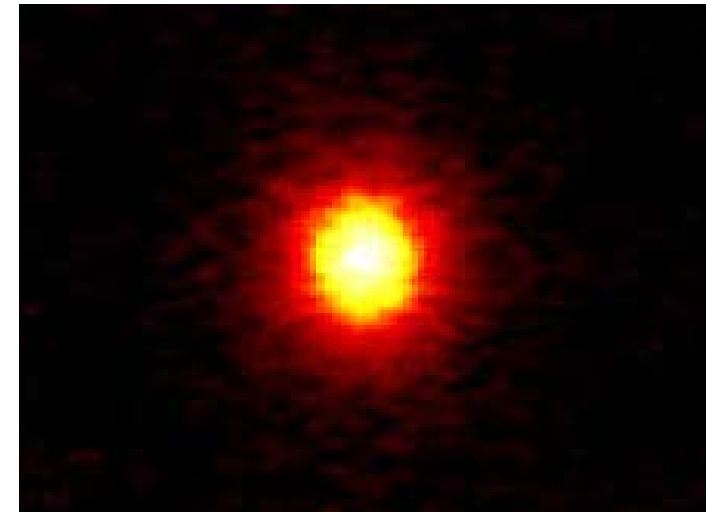
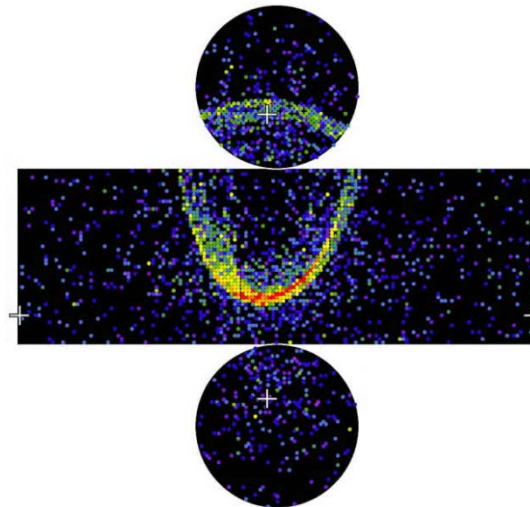


# Super-Kamiokande neutrino detector

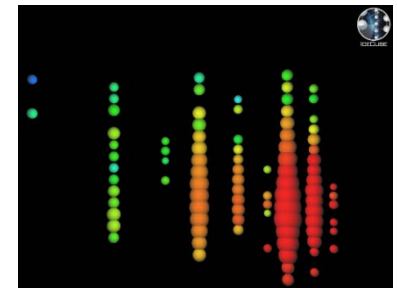
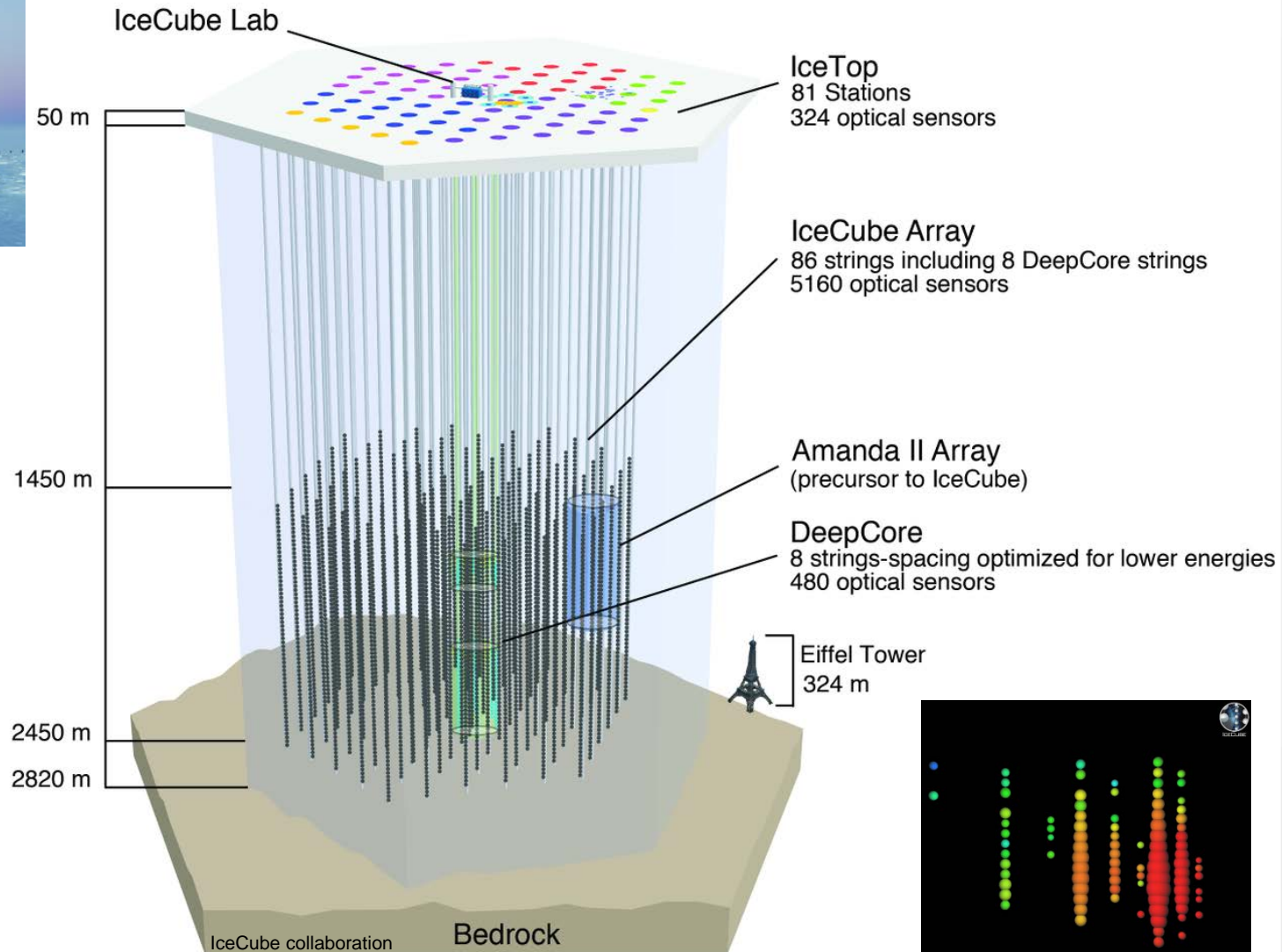
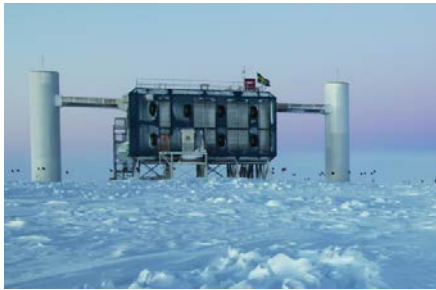


Kamioka Observatory, ICRR (Institute for Cosmic Ray Research), The University of Tokyo

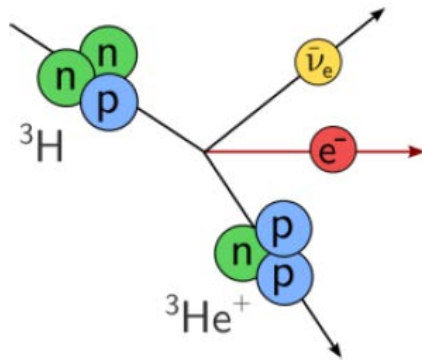
- Super-Kamiokande detector is located in an underground laboratory in Japan
- 50 000 t of pure water
- about 11 100 photomultiplier tubes (20")
- in operation since April 1996
- noble prize in physics 2015 (Takaaki Kajita)



# IceCube neutrino detector



# Neutrino mass and single $\beta$ -decay



Fermi theory of  $\beta$ -decay:

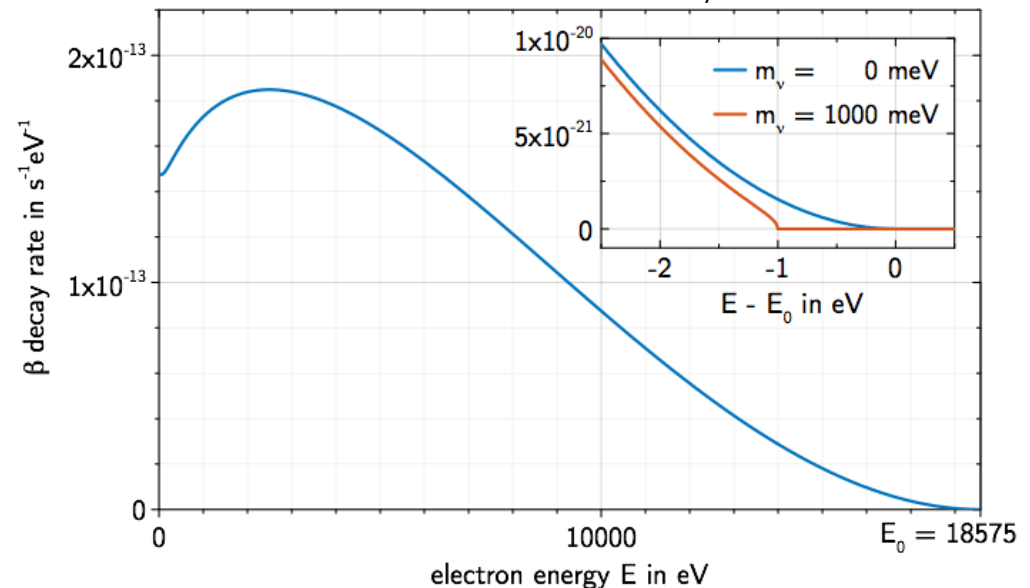
$$\frac{dN}{dE} = C \cdot F(E, Z) \cdot p(E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_\nu^2}$$

observable:

$$m_{\nu_e}^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

- $\beta$ -decay:  $n \rightarrow p + e^- + \bar{\nu}_e$
- Neutrino mass influences energy spectrum of  $\beta$ -decay electrons
- Neutrino mass determination via precise measurement of the spectral shape close to the endpoint

$\beta$ -spectrum for tritium ( $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  y):

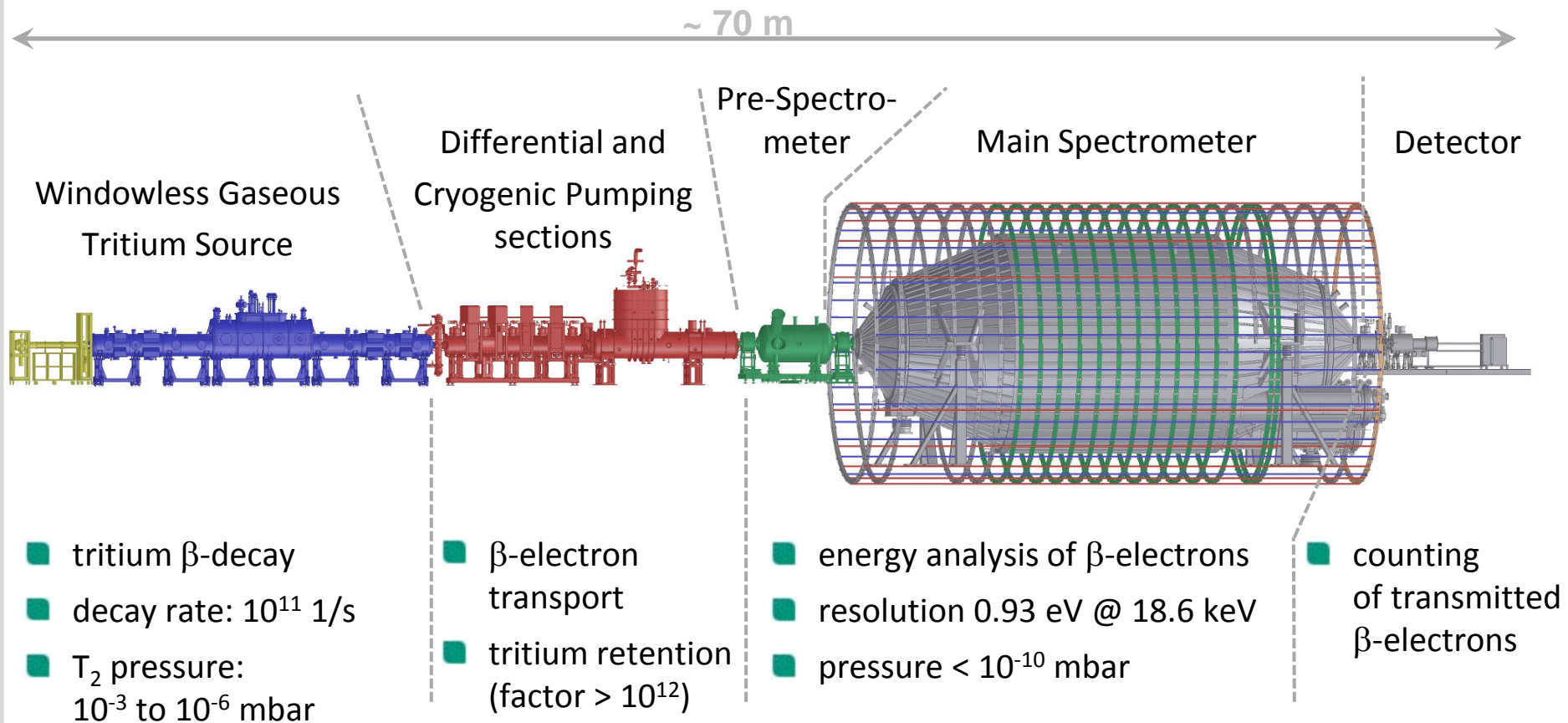






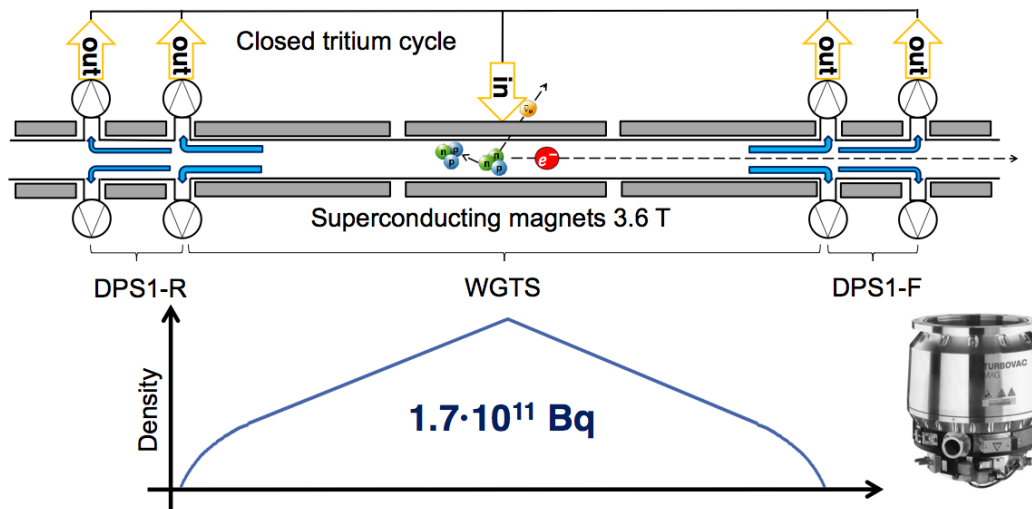
# The KATRIN experiment

- **K**ARlsruhe **T**Ritium **N**eutrino experiment
- Goal: Measure neutrino mass with a sensitivity of **200 meV/c<sup>2</sup>**  
(0.000 000 000 000 000 000 000 000 000 000 000 000 000 36 kg)





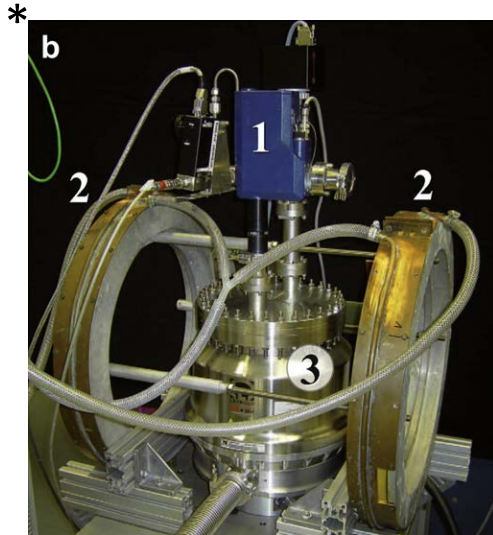
# Windowless Gaseous Tritium Source



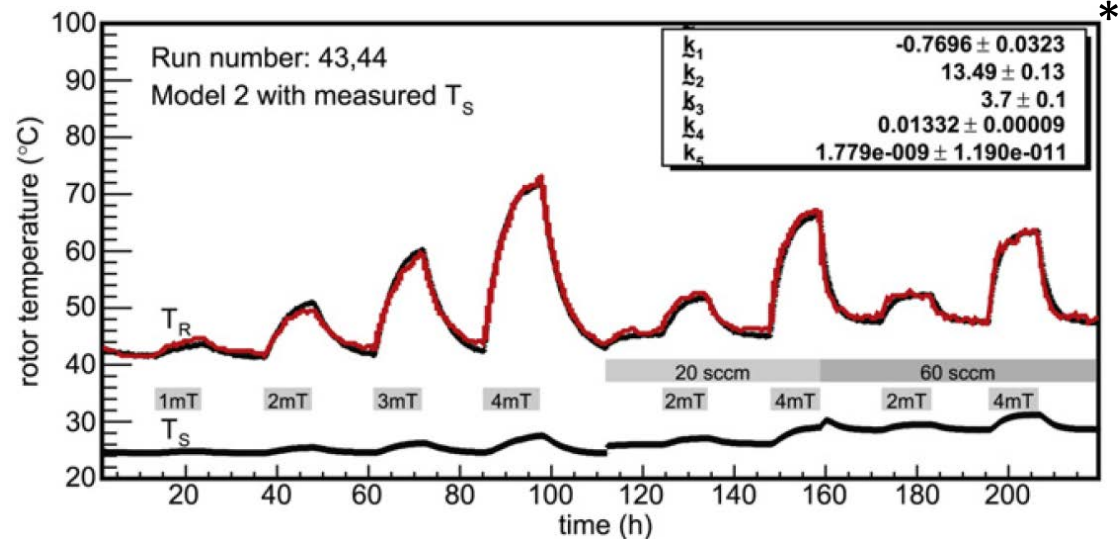
- Stability of  $T_2$  density profile of  $10^{-3}$  (function of  $T_2$  injection rate, purity, beamtube temperature  $T_B$  stability and homogeneity, pump rate)
- $T_B$  stability in prototype experiment 10× better than specified\*
- Tritium loop processes  $1.4 \times 10^{16} \text{ Bq}$  tritium / day (same scale as ITER)
- Operation of TMPs in magnetic stray field of superconducting magnets

\* S. Grohmann et al. "The thermal behaviour of the tritium source in KATRIN", Cryogenics, V. 55–56, 2013, p. 5–11, DOI: 10.1016/j.cryogenics.2013.01.001

# TMPs in strong magnetic fields



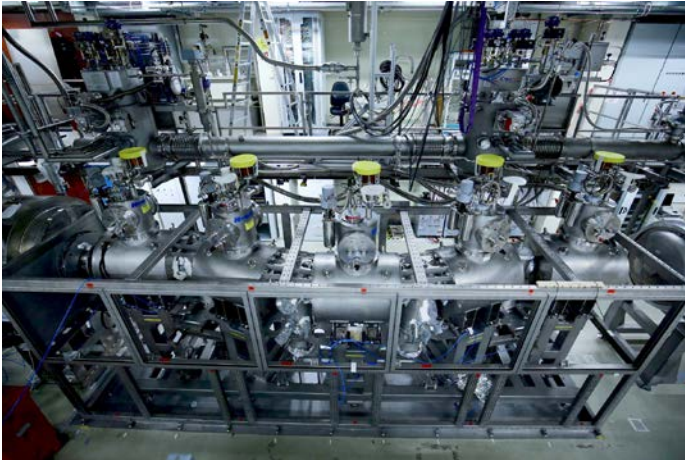
- heating of fast moving TMP rotor by eddy currents
- test setup to monitor rotor temperature for different magnetic fields and gas loads
- developed model to predict rotor temperature over time



\* J. Wolf et al. "Investigation of turbo-molecular pumps in strong magnetic fields", Vacuum, V. 86, 2011, p. 361–369, DOI: 10.1016/j.vacuum.2011.07.063

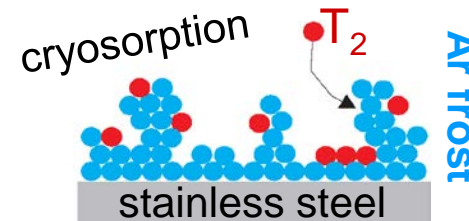
# Pumping sections

## Differential pumping



- $T_2$  partial pressure reduction ( $10^5$ ) via differential pumping
- Magnetic guiding of  $\beta$ -electrons
- Removal of positive ions

## Cryogenic pumping



- $T_2$  partial pressure reduction ( $10^7$ ) via cryosorption of  $T_2$  on argon frost
- Concept successfully tested\*
- Magnet system successfully tested

\* F. Eichelhardt et al. "First Tritium Results of the KATRIN Test Experiment Trap" Fusion Science and Technology 54 (2008), Nr. 2, p. 615-618



# Main Spectrometer

**purpose:** energy analysis

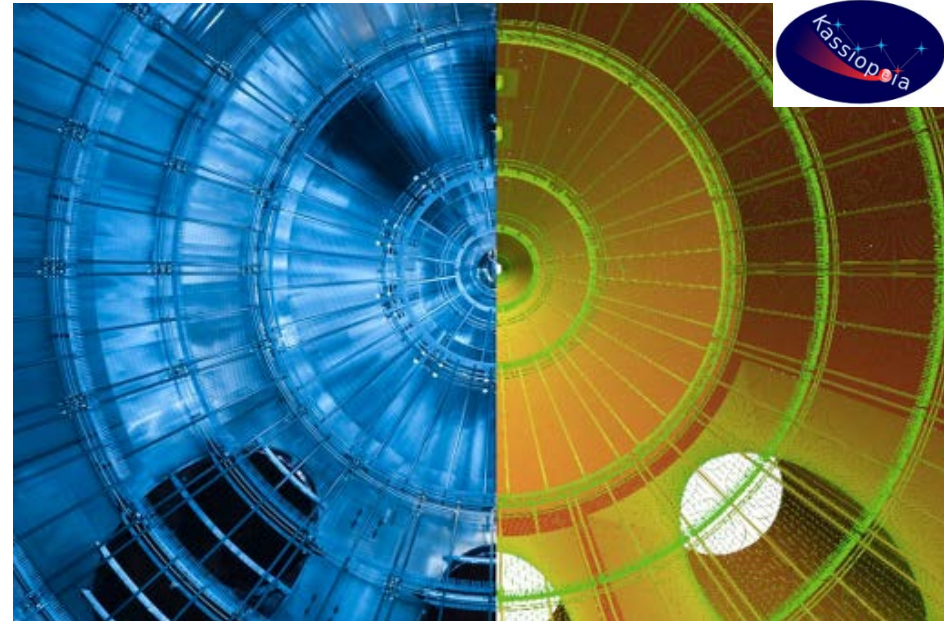
## properties:

- MAC-E filter (integrating high pass filter)
- energy resolution 0.93 eV @ 18.6 keV
- stable HV system (1 ppm @ -18.6 kV)
- volume: 1240 m<sup>3</sup>, surface: 689.6 m<sup>2</sup>
- inner wire electrode system (532 m<sup>2</sup>)
- variable voltage to scan  $E_0$  region

## status:

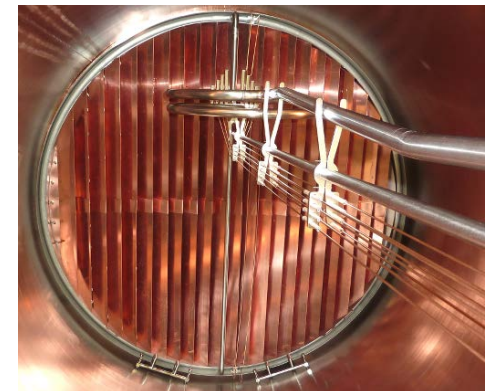
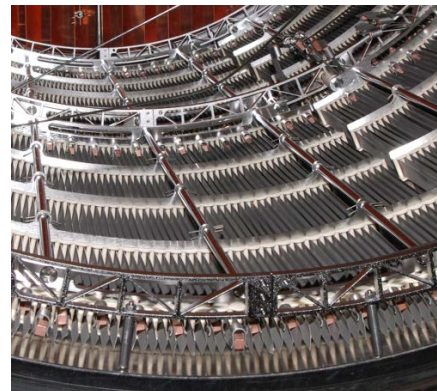
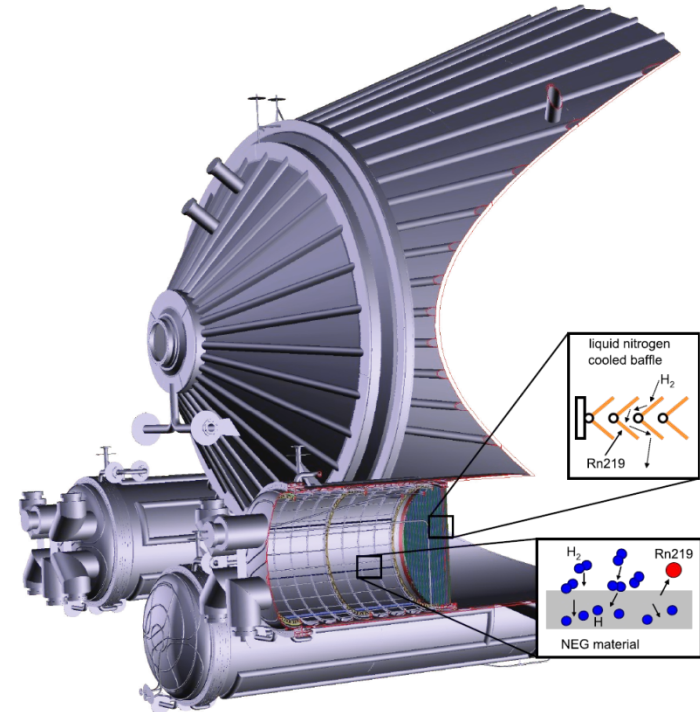
- 2<sup>nd</sup> commissioning measurement phase completed
- Preparations for final commissioning phase ongoing
- Detailed 3D model for electric and magnetic field calculation (particle tracking)
- Kassiopeia software package developed in-house

view inside main spectrometer



# Vacuum system

- 3 NEG-pumps (3000 m SAES ST707 getter strips)  $\sim 1000 \text{ m}^3/\text{s}$  ( $\text{H}_2$ )
- Getter material emanates  $^{219}\text{Rn}$
- $^{219}\text{Rn}$  decays in the spectrometer volume and generates a background 50 times above the design goal (0.01 cps)
- LN2-cooled baffles to cryo-sorb  $^{219}\text{Rn} \sim 170 \text{ m}^3/\text{s}$  (Rn)
- Rn suppression successfully tested (efficiency  $\sim 97 \%$ )
- Conductance of baffles reduces NEG pumping speed to  $375 \text{ m}^3/\text{s}$



# Vacuum system

- Initial pump down screw pump 630 m<sup>3</sup>/h
- Filter for venting spectrometer with air

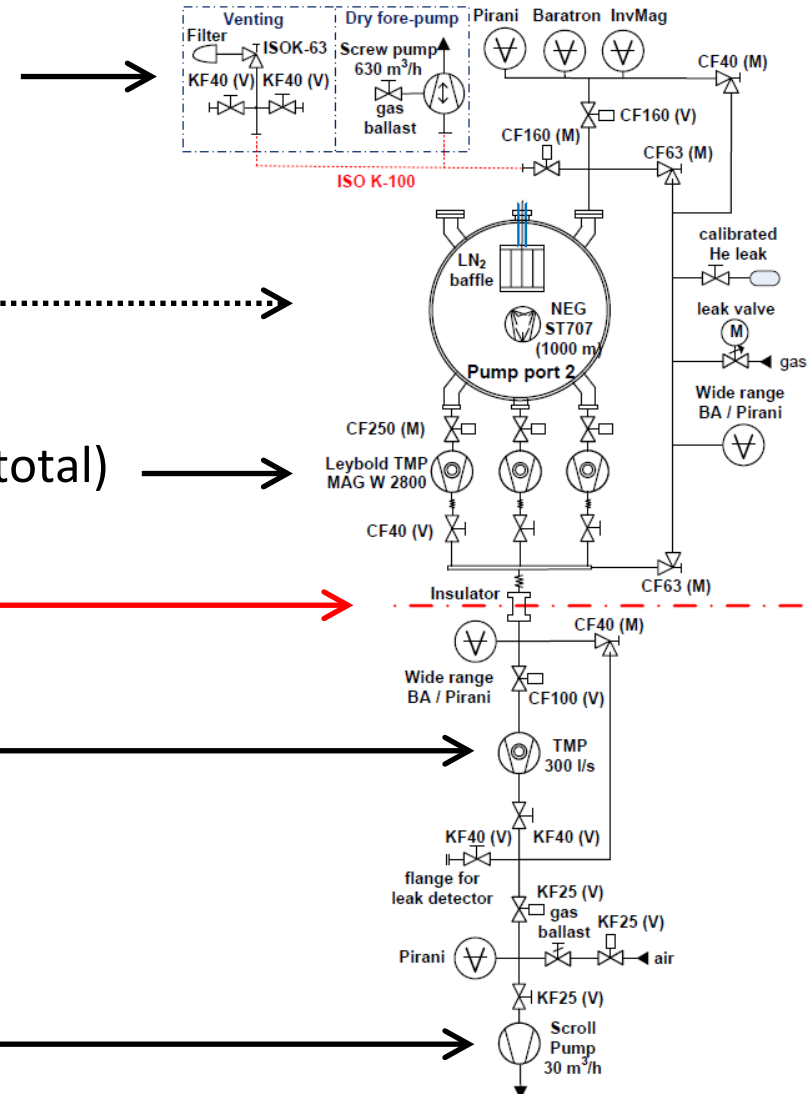
- Vacuum gauges, leak valve, RGA, ...

- 1<sup>st</sup> stage TMPs Leybold MAG W 2800 (6x total)

- High voltage separation

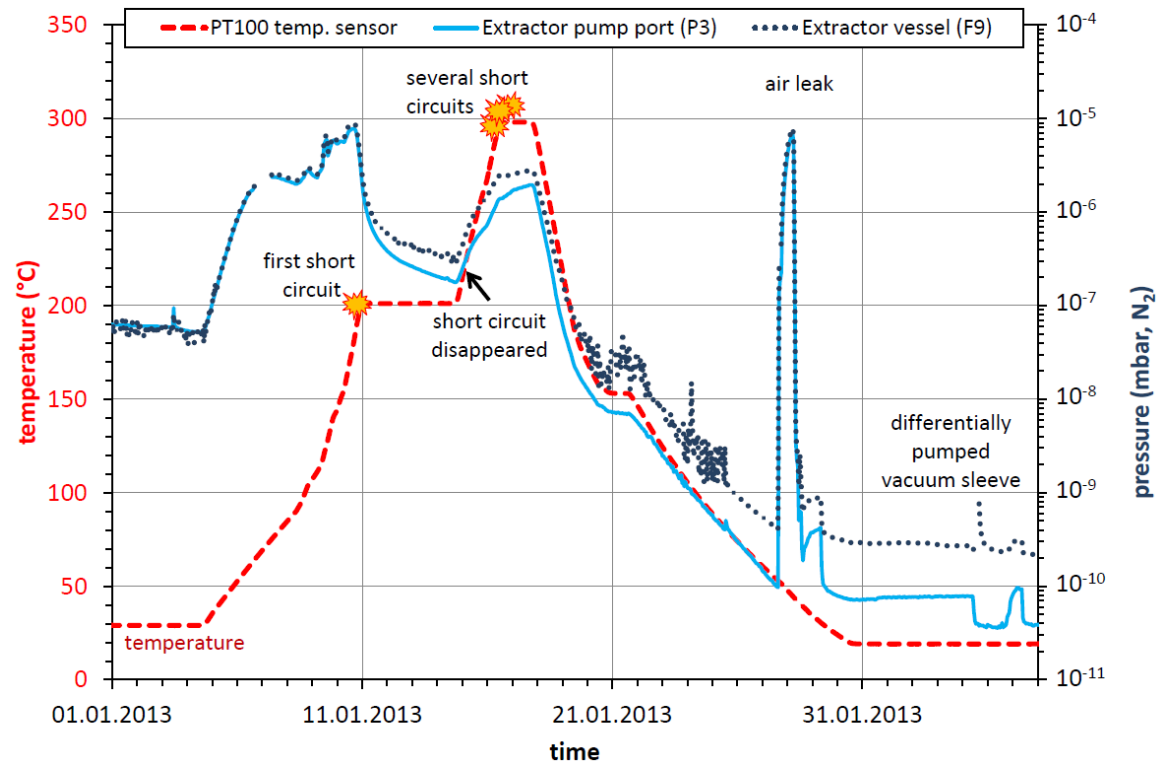
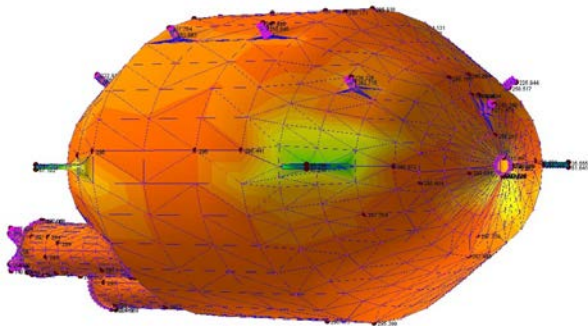
- 2<sup>nd</sup> stage TMP 300 l/s (2x total)

- Scroll pump 30 m<sup>3</sup>/h (2x total)



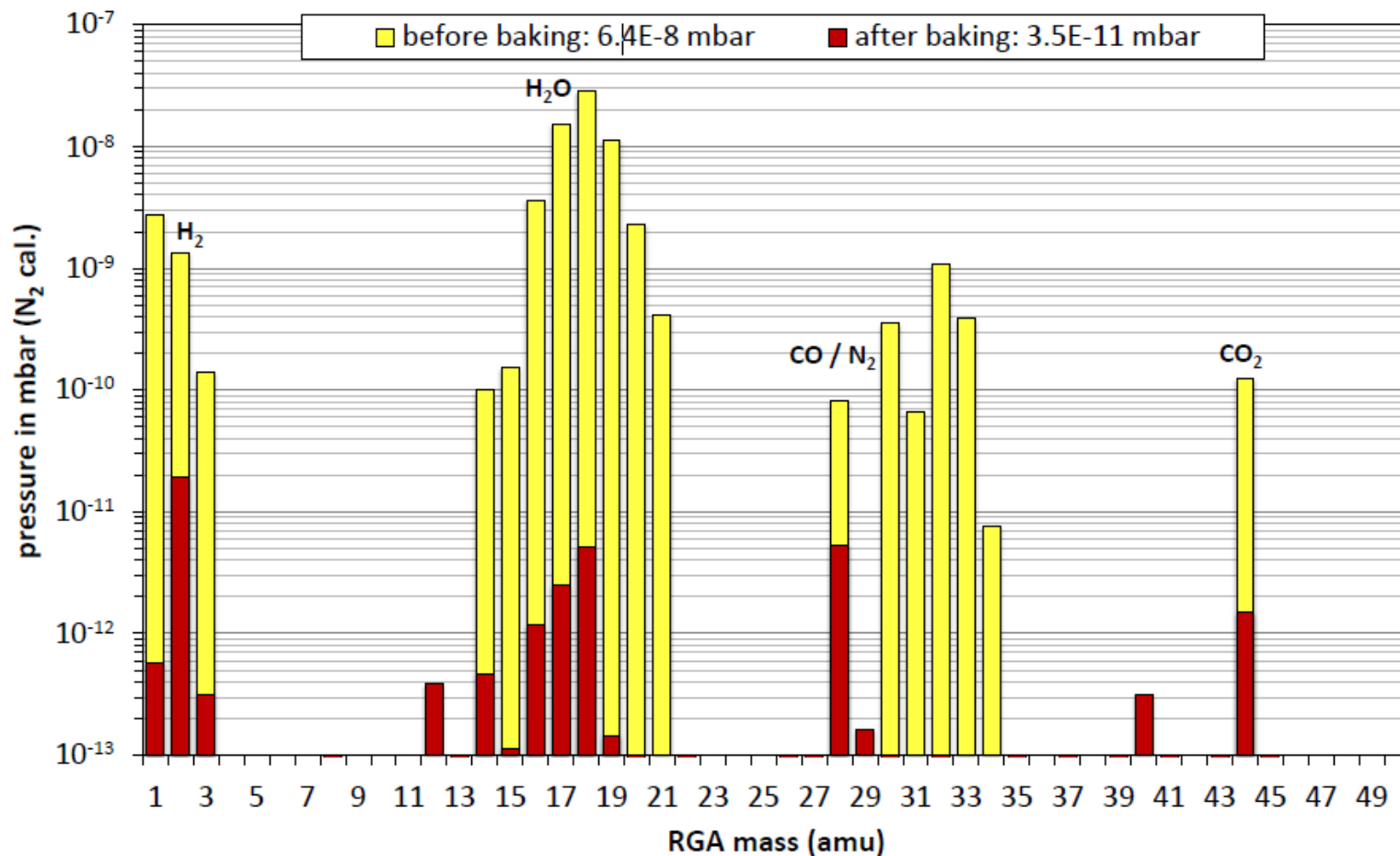


# Spectrometer bake-out



- bake-out removes water and allows for NEG pump activation
- duration of bake-out about 4 weeks, maximum temperature 300 °C
- thermal expansion of spectrometer during bake-out about 10 cm

# Spectrometer bake-out



■ bake-out reduced water by more than 3 orders of magnitude

# Vacuum performance

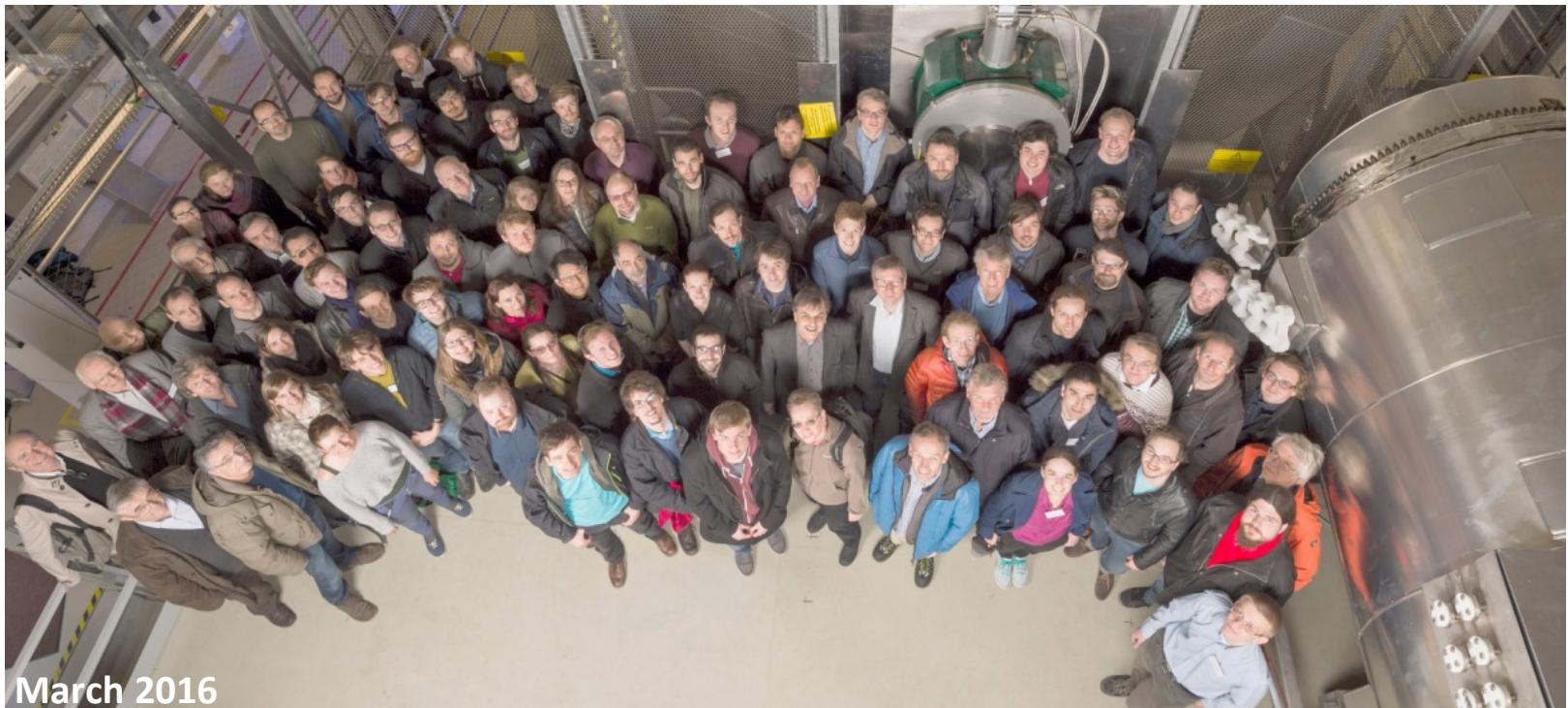
- Main spectrometer volume:  $1240 \text{ m}^3$ , surface:  $1222 \text{ m}^2$
- NEG pump effective pumping speed (activation at  $300 \text{ }^\circ\text{C}$  for 28 h):  **$300 \text{ m}^3/\text{s}$**
- outgassing rate stainless steel wall:  **$1.4 - 2.5 \times 10^{-12} \text{ mbar l}/(\text{s cm}^2)$**
- pressure inside spectrometer:  **$10^{-10} \text{ mbar}$**
- Residual gas composition dominated by **hydrogen (90 %)**



# Summary & outlook

- The neutrino mass is one of the big open questions in particle physics, astrophysics and cosmology
- The KATRIN experiment aims to measure the neutrino mass with a sensitivity of **200 meV/c<sup>2</sup>**
- The KATRIN main spectrometer reached a pressure of about **10<sup>-10</sup> mbar** with a combination of different techniques
- KATRIN is in the final commissioning phase and measurements will start in **2017**

# KATRIN collaboration



March 2016



WESTFÄLISCHE  
WILHELMS-UNIVERSITÄT  
MÜNSTER

JOHANNES  
GUTENBERG  
UNIVERSITÄT  
MAINZ



BERGISCHE  
UNIVERSITÄT  
WUPPERTAL



Max-Planck-Institut  
für Kernphysik



Max-Planck-Institut für Physik  
(Heisenberg-Institut)



universität**bonn**



BERKELEY LAB



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL

Hochschule Fulda  
University of Applied Sciences



# Backup

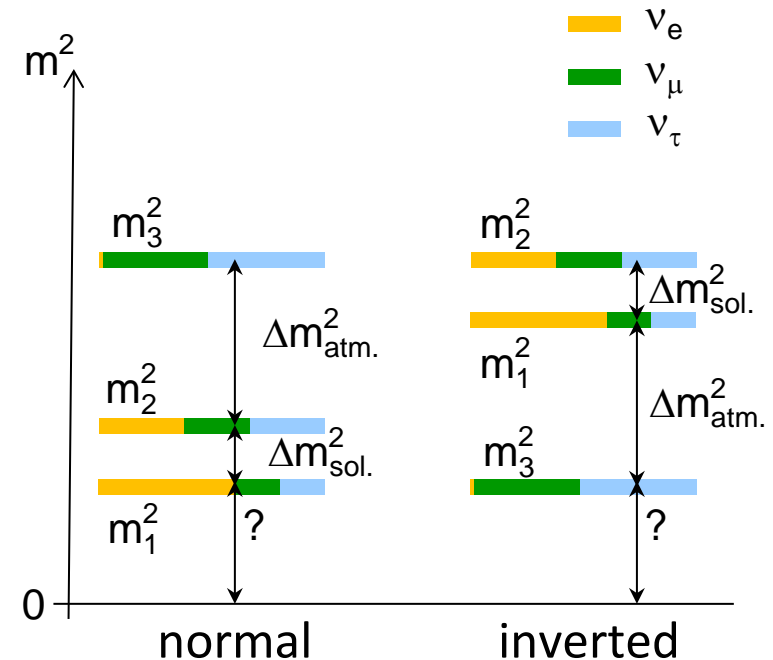


# Neutrino masses

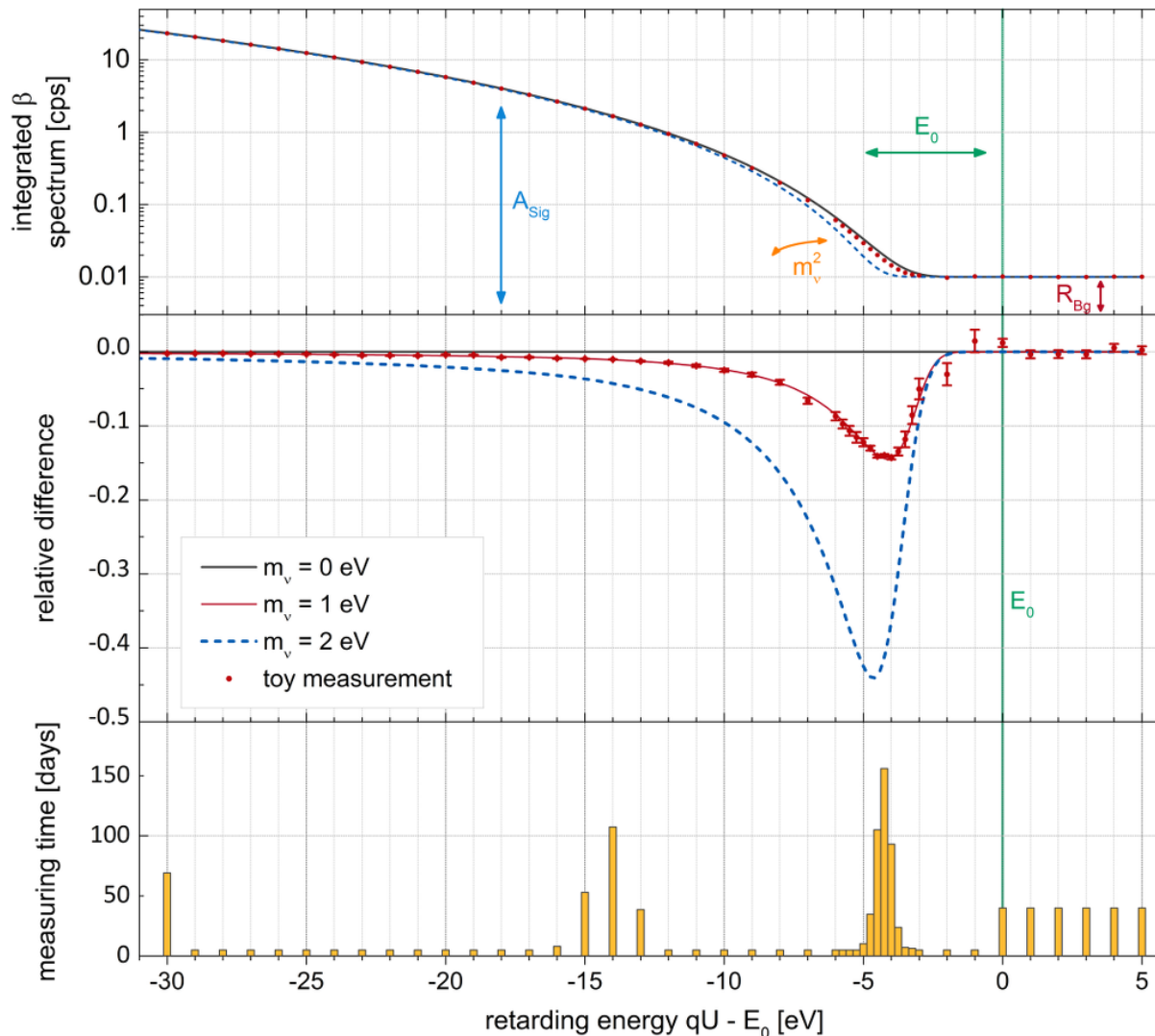
- Neutrino flavour eigenstates are related to neutrino mass eigenstates by the lepton mixing matrix (PMNS)
- Neutrino oscillations are sensitive to the differences between the squares of neutrino masses
- Two mass ordering scenarios possible
- The value of the lightest neutrino mass is unknown

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \\ m_3 \end{pmatrix}$$

## mass ordering

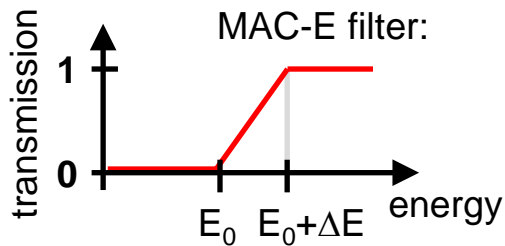
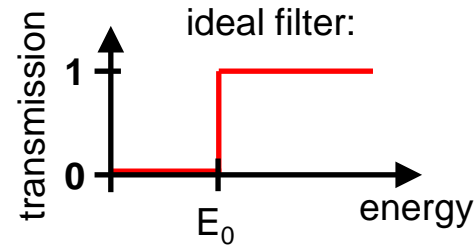


# KATRIN measurement

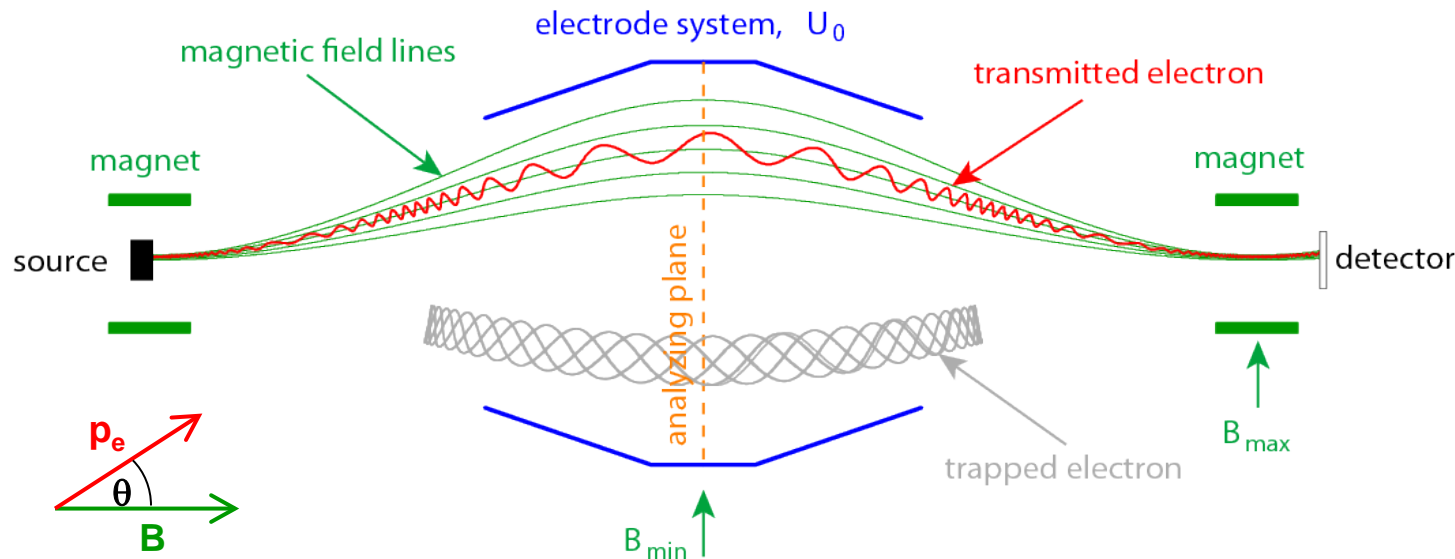


- KATRIN will measure the integrated  $\beta$ -spectrum close to the  $T_2$  endpoint  $E_0$
- The influence of  $m_\nu$  is most pronounced a few eV below  $E_0$
- Optimized measurement time distribution to increase sensitivity

# MAC-E filter



- **Magnetic Adiabatic Collimation** combined with an **Electrostatic Filter**
- Technique used by Mainz and Troitsk neutrino mass experiments, current best upper limit  $m_\nu < 2 \text{ eV}/c^2$



magnetic moment:

$$\mu = \frac{E_t}{B} = \text{const}$$

energy resolution:

$$\Delta E = \frac{B_A}{B_{\max}} E_0$$

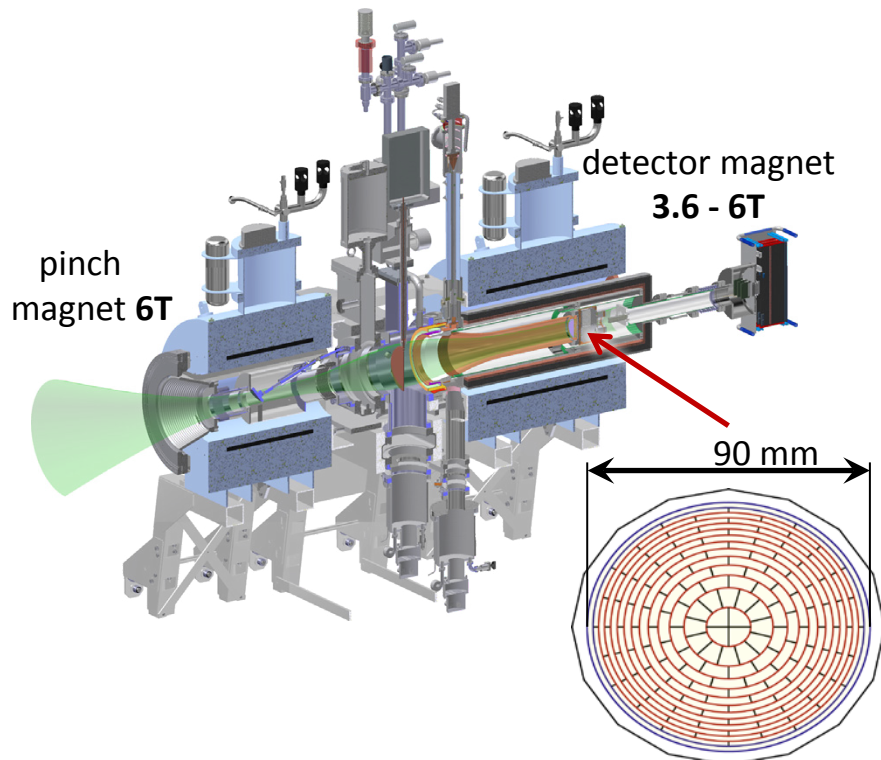
# Detector system



**purpose:** counting transmitted  $\beta$ -decay electrons

## properties:

- segmented monolithic Silicon PIN Diode
- 148 pixels, area  $\sim 50 \text{ mm}^2$  each
- dead layer  $\sim 100 \text{ nm}$
- post acceleration (up to  $+10 \text{ kV}$ )
- muon veto system
- energy resolution  $1.4 \text{ keV}$  (FWHM)
- intrinsic background  $1.2 \text{ mcps / keV}$



## status:

- system successfully commissioned
- maintenance phase ongoing